

JUL 30 2002

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In re Application of: Katsumi Sameshima
Serial No.: 09/451,979
Confirmation No.: 9727
Filed: November 30, 1999
For: FERROELECTRIC MEMORY AND METHOD FOR
MANUFACTURING SAME
Commissioner for Patents
Washington, D.C. 20231

Attorney Docket No.: 362-39 RCE

I hereby certify this correspondence is being deposited with the
United States Postal Service as first class mail, postpaid in an
envelope, addressed to: Commissioner for Patents,
Washington, D.C. 20231 on
July 23, 2002

Signature: Susan L. Toledano
Susan L. Toledano

Sir:

Transmitted herewith is an Amendment in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.
- ☒ No additional fee is required

The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTR
TOTAL	5	MINUS	5	
INDEP.	1	MINUS	1	

SMALL ENTITY	
RATE	ADDL. FEE
x 9 =	\$
x 40 =	\$
x 135 =	\$
TOTAL	

OTHER THAN A SMALL ENTITY	
RATE	ADDL. FEE
x 18 =	
x 84 =	
x 270 =	
TOTAL	

X FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIMS

- ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____. A duplicate copy of this sheet is attached.
- ☐ A check in the amount of \$ _____ is attached.
- ☐ The Commissioner is hereby authorized to charge any fees or additional fees associated with this communication or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is attached.
- ☐ Any filing fees under 37 C.F.R. 1.16 for the presentation of extra claims.
- ☐ Any patent application processing fees under 37 C.F.R. 1.17.

Respectfully submitted,

Gerald T. Bodner

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UNITED STATES PATENT AND TRADEMARK OFFICE

BRUNSON
8/13/02

Applicant(s): Katsumi Sameshima

Serial No.: 09/451,979

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For: FERROELECTRIC MEMORY AND
METHOD FOR MANUFACTURING SAME

Commissioner for Patents
Washington, D.C. 20231

Examiner: Wai-Sing Louie

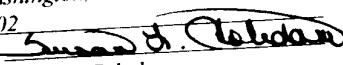
Group Art Unit: 2814

Docket: 362-39 RCE

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Signature: 

Susan L. Toledano

AMENDMENT

Sir:

In response to the Office Action mailed April 24, 2002, please amend the above-identified application as follows:

IN THE CLAIMS:

Please amend Claims 1 and 2 by rewriting the same as follows:

1. (Thrice Amended) A ferroelectric memory, comprising:
an insulation film having a concave portion at a top surface; and
a laminated body obtained by laminating a plurality of layers on said top surface and
etching a region of said plurality of layers corresponding to a region other than said concave
portion, wherein said laminated body includes a lower electrode layer which is brought into
contact with a bottom surface of said concave portion, a ferroelectric layer formed on said

TECHNICAL STAFF
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